PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of

Docket No: Q66055

Group Art Unit: 1745

Masato KUROSAKI, et al.

?RECEIVED

Appln. No.: 09/942,991

SEP 0 2 7003

Confirmation No.: 9284

Examiner: Laura Weiner

GROUP 1700

Filed: August 31, 2001

For: SECONDARY BATTERY AND CAPACITOR UTILIZING INDOLE COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- Korean Registered Patent No. 10-0374679, published February 26, 2001 and its Japanese counterpart 2001-035494 with English abstract.
- Japanese Patent Application Publication No. 2001-118577, published April 27, 2001, with English abstract.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.:

application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses here with a copy of a corresponding Korean

Office Action dated July 26, 2003 and an English translation of the pertinent portions thereof

which cites document 1 and indicates the degree of relevance found by the foreign office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the English language abstract for document 2.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: August 27, 2003

Howard L. Bernstein Registration No. 25,665

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Substitute for Form 1449 A & B/PTO				Complete if Known		
Substitute for Form 144	y A & B/PIU			Application Number	09/942,991	
INFO	RMATION	DISCLOS	URE	Confirmation Number	9284	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	August 31, 2001	
				First Named Inventor	Masato KUROSAKI	
				Art Unit	1745	
	Sil			Examiner Name	Laura Weiner	
Sheet	1	of	1	Attorney Docket Number	Q66055	
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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document	Number	Publication Date			
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
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FOREIGN PATENT DOCUMENTS							
	Cite	Fo	reign Patent Docum	ent	Publication Date	Name of Patentee or Applicant of Cited Document	77 3 426
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY		Translation ⁶
		KR	10-0374679		02-26-2001		abstract
		ЛР	2001-118577		04-27-2001		abstract
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		
	···				

Date Considered

Examiner Signature

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.